

MARSH FISCHMANN & BREYFOGLE LLP

Attorneys at Law

3151 S. Vaughn Way, Suite 411
Aurora, Colorado 80014
Telephone (303) 338-0997 • Facsimile (303) 338-1514

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Date: January 26, 2005
To: U.S. Patent and Trademark Office
Attention: Group 3721
Facsimile No.: (703) 872-9306
Client No.: 50012-00027
From: Thomas R. Marsh
Re: U.S. Divisional Patent Application No. 10/630,059 Entitled "METHOD, SYSTEM, AND APPARATUS FOR HANDLING, LABELING, FILLING AND CAPPING SYRINGES"
Our Reference: 50012-00027

Number of pages following this cover sheet: 12

If you need a confirmation or any of the pages sent again, please call our office at the following number:
303-338-0997. SENT BY: Natalie

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JAN 26 2005

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

BALDWIN et al.

Serial No.: 10/630,059

Filed: July 30, 2003

Confirmation No.: 7769

Atty. File No.: 50012-00027

For: "METHOD, SYSTEM AND
APPARATUS FOR LABELING,
FILLING, AND CAPPING SYRINGES"

) Group Art Unit: 3721

) Examiner: Rinaldi I. Rada

) RESUBMISSION OF ASSIGNMENT
) FOR RECORDATION

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being transmitted via
facsimile to the U.S. Patent and Trademark Office on the
date shown below.

Print or Type Name: Natalie Alnum

Signature: Natalie Alnum

Date: 1/26/05

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
MAIL STOP ASSIGNMENT

Dear Sir:

A Resubmission of Assignment for recordation was submitted April 14, 2004. Pursuant to a subsequent Notice of Non-Recordation of Document mailed on October 18, 2004 (copy enclosed), enclosed please find an new Recordation Form Cover Sheet with corrections indicated thereon. Please record the attached Assignment document from Brian Eugene Baldwin, Robert Eugene Mancuso, II and Jon Paul Page to Baxa Corporation. This Assignment was previously submitted on July 30, 2003, however, Jon Paul Page's name was inadvertently misspelled as "John Paul Page" on the Recordation Form Cover Sheet.

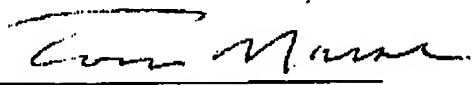
Enclosed please find a copy of the Notice of Recordation of Assignment dated February 20, 2004, recorded under Reel 014348, Frame 0768. Applicant submitted the required recordation fee

(\$40.00) with the Resubmission of the Assignment for Recordation April 14, 2004, and therefore no fees are believed to be due. If, however, any fees are due, then please debit Deposit Account No. 50-1419.

Respectfully submitted,

MARSH FISCHMANN & BREYFOGLE LLP

By:


Thomas R. Marsh
Registration No. 31,039
3151 South Vaughn Way, Suite 411
Aurora, Colorado 80014
(303) 338-0997

Date:

1/26/05

Docket No.:

FORM PTO-1595 (Modified)

(Rev. 03-01)

OMB No. 0561-0027 (exp. 6/31/2002)

FORM REV03

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

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To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Brian Eugene Baldwin
Robert Eugene Mancuso, II
Jon Paul Page

2. Name and address of receiving party(ies):

Name: Baxa Corporation

Internal Address: _____

Street Address: 14445 Grasslands DriveCity: Englewood State: CO ZIP: 80112

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☐ Assignment☐ Merger☐ Security Agreement☐ Change of Name☒ Other Mispeeling of "Jon" at Reel 014348 Frame 076

Execution Date: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

10/630,059

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Thomas R. Marsh, Esq.Internal Address: Marsh Fischmann & Breyfogle LLPStreet Address: 3151 South Vaughn Way, Suite 411City: Aurora State: CO ZIP: 800146. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):\$ _____

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number: _____

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Thomas R. Marsh

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 1

Mail documents to be recorded with required cover sheet information to:

**UNITED STATES PATENT AND TRADEMARK OFFICE**

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

OCTOBER 18, 2004

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MARSH FISCHMANN & BREYFOGLE LLP
THOMAS R. MARSH, ESQ.
3151 SOUTH VAUGHN WAY, SUITE 411
AURORA, CO 80014



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OCT 21 2004

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NOTICE OF NON-RECORDATION OF DOCUMENT

MARSH FISCHMANN & BREYFOGLE LLP

DOCUMENT ID NO.: 102729886

THE ENCLOSED DOCUMENT HAS BEEN EXAMINED AND FOUND NON-RECORDABLE BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. THE REASON(S) FOR NON-RECORDATION ARE STATED BELOW. DOCUMENTS BEING RESUBMITTED FOR RECORDATION MUST BE ACCOMPANIED BY A NEW COVER SHEET REFLECTING THE CORRECT INFORMATION TO BE RECORDED AND THE DOCUMENT ID NUMBER REFERENCED ABOVE.

THE ORIGINAL DATE OF FILING OF THIS ASSIGNMENT DOCUMENT WILL BE MAINTAINED IF RESUBMITTED WITH THE APPROPRIATE CORRECTION(S) WITHIN 30 DAYS FROM THE DATE OF THIS NOTICE AS OUTLINED UNDER 37 CFR 3.51. THE RESUBMITTED DOCUMENT MUST INCLUDE A STAMP WITH THE OFFICIAL DATE OF RECEIPT UNDER 37 CFR 3. APPLICANTS MAY USE THE CERTIFIED PROCEDURES UNDER 37 CFR 1.8 OR 1.10 FOR RESUBMISSION OF THE RETURNED PAPERS, IF THEY DESIRE TO HAVE THE BENEFIT OF THE DATE OF DEPOSIT IN THE UNITED STATES POSTAL SERVICE.

SEND DOCUMENTS TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231. IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE, YOU MAY CONTACT THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.

1. THIS IS A CORRECTIVE ASSIGNMENT DOCUMENT CHECK THE OTHER BOX EXPLAIN ERROR AND THE REEL/FRADE NUMBER. TAKE THE (X) FROM THE ASSIGNMENT BOX. RETURN ALL DOCUMENTS BACK TO FAX 703-306-5995
2. THE COVER SHEET SUBMITTED FOR RECORDING IS NOT ACCEPTABLE. THE PERSON SUBMITTING THE DOCUMENT MUST SIGN AND DATE THE DOCUMENT.

MARY BENTON, EXAMINER

01/26/2005

12:20

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NO. 320

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ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

**PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re the Application of:

BALDWIN et al.

Serial No.: 10/630,059

Filed: July 30, 2003

Confirmation No.: 7769

Atty. File No.: 50012-00027

For: "METHOD, SYSTEM AND
APPARATUS FOR LABELING,
FILLING, AND CAPPING SYRINGES"

) Group Art Unit: 3721

) Examiner: Rinaldi I. Rada

) **RESUBMISSION OF ASSIGNMENT**
) **FOR RECORDATION**

<p>CERTIFICATE OF MAILING</p> <p>I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 ON <u>7/14/04</u>.</p> <p>BY: <u>Thomas R. Marsh</u> MARSH FISCHMANN & BREYFOGLE LLP</p>
--

MAIL STOP ASSIGNMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

Please record the attached Assignment document from Brian Eugene Baldwin, Robert Eugene Mancuso, II and Jon Paul Page to Baxa Corporation. This Assignment was previously submitted on July 30, 2003, however, Jon Paul Page's name was inadvertently misspelled as "John Paul Page" on the Recordation Form Cover Sheet.

Enclosed please find a copy of the Notice of Recordation of Assignment dated February 20, 2004, recorded under Reel 014348, Frame 0768. Also enclosed is a check in the amount of \$40.00 to cover the recordation fee. Please credit any overpayment or debit any underpayment from Deposit Account No. 50-1419.

Respectfully submitted,

MARSH FISCHMANN & BREYFOGLE LLP

By: Thomas R. Marsh

Thomas R. Marsh, Esq.
Registration No. 31,039
3151 South Vaughn Way, Suite 411
Aurora, Colorado 80014
(303) 338-0997

Date: 7/14/04

Docket No.: 50012-00027

FORM PTO-1595 (Modified)

(Rev. 03-01)

OMB No. 0651-0027 (exp. 5/31/2002)

P08/REV03

01-26-2004



102729886

3 SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

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To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Brian Eugene Baldwin
Robert Eugene Mancuso, II
Jon Paul Page

2. Name and address of receiving party(ies):

Name: Baxa Corporation

Internal Address: _____

Street Address: 14445 Grasslands DriveCity: Englewood State: CO ZIP: 80112

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Execution Date: August 10, 2001Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

10/630,059Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Thomas R. Marsh, Esq.Internal Address: Marsh Fischmann & Breyfogle LLPStreet Address: 3151 South Vaughn Way, Suite 411City: AuroraState: CO ZIP: 80014

6. Total number of applications and patents involved:

17. Total fee (37 CFR 3.41):.....\$ 40.00☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number:

50-1419

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Thomas R. Marsh

Name of Person Signing

Signature

April , 2004

Date

Total number of pages including cover sheet, attachments, and document:

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All documents to be recorded with required cover sheet information to:

ASSIGNMENT

WHEREAS, we, Brian Eugene Baldwin of 7891 S. Argonne Street, Centennial, CO 80016, Robert Eugene Mancuso, II of 36059 Winchester Road, Elizabeth, CO 80107, and Jon Paul Page of 1642 Hemlock Way, Broomfield, CO 80020, have invented a certain new and useful invention entitled "METHOD, SYSTEM AND APPARATUS FOR HANDLING, LABELING, FILING AND CAPPING SYRINGES", for which an application for Letters Patent of the United States has been prepared for filing, said application being identified as Attorney File No. 50012-00004 and executed on even date herewith.

NOW THEREFORE, be it known that we, the said inventors, for and in consideration of certain good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, at the request of the assignee do sell, assign and transfer unto said assignee, Baxa Corporation, a Colorado corporation having a place of business at 13760 East Arapahoe Road, Englewood, CO 80112-3903, its successors, legal representatives and assigns, the aforesaid application for the territory of the United States of America and all continuation, divisional, continuation-in-part and reissue applications, all patent applications in foreign countries, all applications pursuant to the Patent Cooperation Treaty, and all applications for extension filed or to be filed for the invention, and all Letters Patent, Invention Registrations, Utility Models, Extensions or Reissues and other patent rights, obtained for the invention in the United States or any other country; we also assign any right, title or interest in and to the invention which has not already been transferred to the assignee; we warrant that we have made no assignment of the invention, application or patent therefor to a party other than Baxa Corporation and we are under no obligation to make any assignment of the invention, application, or patent therefor to any other party; and we further agree to cooperate with the assignee hereunder in the obtaining and sustaining of any and all such Letters Patent and in confirming assignee's exclusive ownership of the invention, but at the expense of said assignee.

The Commissioner of Patents is hereby authorized and requested to issue the Letters Patent solely in accordance with the terms of this Assignment, to Baxa Corporation, its successors, legal representatives and assigns, as the assignee of the entire right, title and interest therein.

IN WITNESS WHEREOF, the parties hereto have executed this Assignment as of the date indicated hereunder.

Date: August 10, 2001

By: Brian Eugene Baldwin
Brian Eugene Baldwin

Date: August 10, 2001

By: Robert Eugene Mancuso, II
Robert Eugene Mancuso, II

Date: August 10, 2001

By: Jon Paul Page
Jon Paul Page

STATE OF Colorado)
) ss.
COUNTY OF Denver)

Before me, a Notary Public in and for said County and State, personally appeared Brian Eugene Baldwin, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 10 day of August, 2001.

Valerie L. Berg
Notary Public

My Commission Expires January 20, 2005

STATE OF Colorado)
) ss.
COUNTY OF Denver)

Before me, a Notary Public in and for said County and State, personally appeared Robert Eugene Mancuso, II, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 10 day of August, 2001.

Valerie L. Berg
Notary Public

My Commission Expires January 20, 2005

STATE OF Colorado)
) ss.
COUNTY OF Denver)

Before me, a Notary Public in and for said County and State, personally appeared Jon Paul Page known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 10 day of August, 2001.

Valerie L. Berg
Notary Public

My Commission Expires January 20, 2005

**UNITED STATES PATENT AND TRADEMARK OFFICE**

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

FEBRUARY 20, 2004

PTAS

MARSH FISCHMANN & BREYFOGLE LLP
THOMAS R. MARSH
3151 SOUTH VAUGHN WAY, SUITE 411
AURORA, CO 80014



102518999A

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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/30/2003

REEL/FRAME: 014348/0768
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BALDWIN, BRIAN EUGENE

DOC DATE: 08/10/2001

ASSIGNOR:

MANCUSO, ROBERT EUGENE

DOC DATE: 08/10/2001

ASSIGNOR:

PAGE, JOHN PAUL

DOC DATE: 08/10/2001

ASSIGNEE:

BAXA CORPORATION
14445 GRASSLANDS DRIVE
ENGLEWOOD, COLORADO 80112

SERIAL NUMBER: 10630059
PATENT NUMBER:

FILING DATE: 07/30/2003
ISSUE DATE:

014348/0768 PAGE 2

JOANN STEWART, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

Docket No.: 50012-00027

FORM PTO-1305 (Modified)
(Rev. 03-01)
OMB No. 0651-0027 (exp. 6/30/2002)
P08/REV03

08-08-2003

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Patent and Trademark Office

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102518999

To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Brian Eugene Baldwin
Robert Eugene Mancuso II
John Paul Page

7-30-03

2. Name and address of receiving party(ies):

Name: Baxa Corporation

Internal Address: _____

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Additional names(s) of conveying party(ies)

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3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Execution Date: August 10, 2001Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

10630059

If this document is being filed together with a new application, the execution date of the application is: July 30, 2003

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Thomas R. Marsh, Esq.

Internal Address: _____

MARSH FISCHMANN & BREYFOGLE LLPStreet Address: 3151 South Vaughn Way, Suite 411

08/07/2003 DBYRJE 00000157 10630059

01 FC:8021

City: Aurora

40.00

State: COZIP: 800146. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41):.....\$ 40.00☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

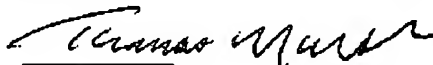
8. Deposit account number:

50-1419

(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Thomas R. Marsh, Esq.July 30, 2003

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 6

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Director of the United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22304-1450